

**SURFACE CHEMISTRY MANIPULATION OF GOLD
NANORODS DISPLAYS HIGH CELLULAR UPTAKE
IN VITRO WHILE PRESERVING OPTICAL PROPERTIES
FOR BIO-IMAGING AND PHOTO-THERMAL APPLICATIONS**

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March 2016
Final Report for March 2015 to DEC 2015

REPORT DOCUMENTATION PAGE				Form Approved OMB No. 0704-0188	
Public reporting burden for this collection of information is estimated to average 1 hour per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing this collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to Department of Defense, Washington Headquarters Services, Directorate for Information Operations and Reports (0704-0188), 1215 Jefferson Davis Highway, Suite 1204, Arlington, VA 22202-4302. Respondents should be aware that notwithstanding any other provision of law, no person shall be subject to any penalty for failing to comply with a collection of information if it does not display a currently valid OMB control number. PLEASE DO NOT RETURN YOUR FORM TO THE ABOVE ADDRESS.					
1. REPORT DATE (DD-MM-YYYY) 28-03-2016		2. REPORT TYPE Final		3. DATES COVERED (From - To) 7/2012 – 1/2016	
4. TITLE AND SUBTITLE SURFACE CHEMISTRY MANIPULATION OF GOLD NANORODS DISPLAYS HIGH CELLULAR UPTAKE IN VITRO WHILE PRESERVING OPTICAL PROPERTIES FOR BIO-IMAGING AND PHOTO-THERMAL APPLICATIONS.				5a. CONTRACT NUMBER	
				5b. GRANT NUMBER	
				5c. PROGRAM ELEMENT NUMBER	
6. AUTHOR(S) ANTHONY B. POLITO III, Maj, USAF, BSC, PhD, MT(ASCP)SBB				5d. PROJECT NUMBER	
				5e. TASK NUMBER	
				5f. WORK UNIT NUMBER	
7. PERFORMING ORGANIZATION NAME(S) AND ADDRESS(ES) Air Force Institute of Technology (AFIT) Civilian Institution Programs (CIP) 2950 Hobson Way WPAFB OH 45433-7765				8. PERFORMING ORGANIZATION REPORT NUMBER	
9. SPONSORING/MONITORING AGENCY NAME(S) AND ADDRESS(ES) Clinical Investigations Office of the Air Force Surgeon General SG5M, Research and Innovations 7700 Arlington Blvd, Ste 5164 Falls Church, VA 22042-5164				10. SPONSOR/MONITOR'S ACRONYM(S)	
				11. SPONSORING/MONITORING AGENCY REPORT NUMBER	
12. DISTRIBUTION AVAILABILITY STATEMENT Distribution A: Approved for public release					
13. SUPPLEMENTARY NOTES					
14. ABSTRACT Due to their anisotropic shape, gold nanorods (GNRs) possess a number of advantages for biosystem use, including enhanced surface area and tunable optical properties within the near infrared region (NIR). However, a combination of cetyltrimethylammonium bromide (CTAB) related cytotoxicity, overall poor cellular uptake following surface chemistry modifications and loss of NIR optical properties due to material intracellular aggregation remain as obstacles for nano-based biomedical GNR applications. The current report demonstrated that in vitro exposure to tannic acid (TA) coated 11-mercaptopundecyl trimethylammonium bromide (MTAB) GNRs (MTAB-TA) in A549 human alveolar epithelial cells showed no significant decrease in cell viability or stress activation. In addition, MTAB-TA GNRs demonstrate a substantial level of cellular uptake while displaying a unique intracellular clustering pattern. This clustering pattern significantly reduces intracellular aggregation, preserving the GNRs NIR optical properties, vital for biomedical applications. MTAB-TA GNRs demonstrated significantly greater two photon luminescence microscopy image intensity and photo-thermal cellular ablation compared to bare MTAB GNRs. These results demonstrate how TA surface chemistry modification enhances biocompatibility and allows for a high rate of internalization while preserving the GNRs NIR optical properties. These findings identify MTAB-TA GNRs as prime candidates for use in nano-based bio-imaging and photo-thermal applications.					
15. SUBJECT TERMS					
16. SECURITY CLASSIFICATION OF: U		17. LIMITATION OF ABSTRACT	18. NUMBER OF PAGES	19a. NAME OF RESPONSIBLE PERSON	
a. REPORT U	b. ABSTRACT U			c. THIS PAGE U	19b. TELEPHONE NUMBER (Include area code) NA

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PREFACE

Funding for this project was provided through the Air Force Surgeon General Clinical Investigations Program.

The authors would like to acknowledge the Biomedical Sciences PhD program at Wright State University. The authors also wish to thank Dr. David Cool, Dr. Courtney Sulentic, Dr. Nancy Bigley and Dr. Sharmila Mukhopadhyay.

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1.0 SUMMARY

Due to their anisotropic shape, gold nanorods (GNRs) possess a number of advantages for biosystem use, including enhanced surface area and tunable optical properties within the near infrared region (NIR). However, a combination of cetyltrimethylammonium bromide (CTAB) related cytotoxicity, overall poor cellular uptake following surface chemistry modifications and loss of NIR optical properties due to material intracellular aggregation remain as obstacles for nano-based biomedical GNR applications. The current report demonstrated that *in vitro* exposure to tannic acid (TA) coated 11-mercaptopoundecyl trimethylammonium bromide (MTAB) GNRs (MTAB-TA) in A549 human alveolar epithelial cells showed no significant decrease in cell viability or stress activation. In addition, MTAB-TA GNRs demonstrate a substantial level of cellular uptake while displaying a unique intracellular clustering pattern. This clustering pattern significantly reduces intracellular aggregation, preserving the GNRs NIR optical properties, vital for biomedical applications. MTAB-TA GNRs demonstrated significantly greater two photon luminescence microscopy image intensity and photo-thermal cellular ablation compared to bare MTAB GNRs. These results demonstrate how TA surface chemistry modification enhances biocompatibility and allows for a high rate of internalization while preserving the GNRs NIR optical properties. These findings identify MTAB-TA GNRs as prime candidates for use in nano-based bio-imaging and photo-thermal applications.

2.0 INTRODUCTION

Nanomaterials are increasingly being developed for use in industrial, military and consumer products, including a vast array of biomedical applications (Adlakha-Hutcheon et al., 2009; Barreto et al., 2011). Recent advances in solution chemistries for synthesis of solid phase nanomaterial technology make it possible to manipulate gold nanomaterials into different sizes, shapes and surface structures (Sun & Xia, 2002). Gold nanorods (GNRs) are of particular interest due to their unique optical region absorbance, emission and electronic properties. GNR optical properties are tunable by preparing nano-featured structure based upon their dimensional aspect ratio (AR) or through surface modification chemistry (Bouhelier et al., 2005). The AR describes the two dimensional proportional relationship between the nanomaterial's width and height. Depending on the GNR's AR, a narrow range of light frequencies induces conduction band electron oscillation, termed surface plasmon resonance (SPR) (Liang et al., 2012). The spectral signature of the GNRs longitudinal plasmon resonance extends into the near-infrared (NIR) region and GNRs with a longitudinal SPR between 650 and 950 nm fall within the non-absorbing region of water and carbon based substances, termed the "water window" (Weissleder, 2001). This feature allows for deep-tissue penetration and sensing with GNRs and makes them useful for nano-based biomedical applications. As such, GNRs have been used in a vast array of biomedical applications, including diagnostic imaging, photo-therapies, and drug/gene delivery (Agarwal et al., 2011; Nagesha et al., 2007; Pandey et al., 2013; Pissuwan et al., 2008). Further, GNRs also show great potential for "theragnostic" uses that combined diagnostic imaging and therapeutic applications (Choi et al., 2012; Jelveh & Chithrani, 2011; Wang et al., 2009; Yang et al., 2013). GNRs synthesized with AR 4 will have longitudinal SPR around 800nm, allowing for the interaction with readily available 800nm lasers and therefore making GNRs with an approximate AR of 4 an ideal theragnostic platform. However, the combination elicited toxicity, poor cellular uptake and loss of NIR optical properties due to intracellular aggregation remain as obstacles for using GNRs in many nano-based biomedical applications (Alkilany & Murphy, 2010; Panyala et al., 2009).

The toxicity of GNRs is largely a product of free and possibly surface associated cetyl trimethylammonium bromide (CTAB), which is a cationic surfactant used in the aqueous synthesis of GNRs (Takahashi et al., 2005; L. Wang et al., 2013a; Wang et al., 2011). During synthesis, CTAB provides a growth micelle environment around the gold seedlings stabilizing them to form a rod (Jana et al., 2001; Nikoobakht & El-Sayed, 2003) and is present, in both the supernatant and as a bi-layer around the GNRs after synthesis. Two strategies have been reported to overcome this surfactant's cytotoxicity: 1) replacement by post-synthesis ligand exchange or non-covalent overcoating by chemical cover layering via electrostatic attraction (Vigderman et al., 2012a). Unfortunately, some commonly used surface modifications (e.g. polyethylene glycol; PEG) can significantly lower cellular uptake of the GNRs into cells, thus reducing their utility in biomedical applications (Grabinski et al., 2011; Huff et al., 2007). In addition, other surface modifications (e.g. polymer coatings, peptide functionalization etc.) are prone to particle aggregation when they are taken up by cells (Untener et al., 2013; Zhang et al., 2013c) and result in alteration and/or loss of key optical properties (Kelly et al., 2003; Sosa et al., 2003). Furthermore, over-coatings can break down in biological environments over time

(Ejima et al., 2013). This can result in surface leaching of the CTAB and therefore does not guarantee that the CTAB toxicity is completely mitigated by over-coating. Finally, over-coating and surface replacement procedures often require complicated multi-step functionalization processes (e.g. silica over-coating) that are difficult to scale up for biomedical applications (Gui & Cui, 2012).

Previous studies found that TA coated GNRs have reduced toxicity, demonstrate a distinctive form of endosomal uptake and display a unique intracellular distribution pattern that reduces particle aggregation (Debrosse et al., 2013; Untener et al., 2013). Unfortunately, as the CTAB-TA GNR's AR increases so does its toxicity, possibly due to any remaining CTAB. Therefore procedures for exhaustive removal or exchange of the CTAB from the GNRs may be essential to help lower toxicity. GNRs coated with MTAB (11-mercaptoundecyltrimethylammonium bromide), a thiol analogue of CTAB, represent a less toxic alternative though its biocompatibility and characterization within biological matrices has not yet been fully determined.

Vigderman et al. (2012b) recently used proton nuclear magnetic resonance spectroscopy to determine that complete replacement of CTAB with MTAB is possible due to its analogous chemical structure (Vigderman et al., 2012b). MTAB replacement occurs as CTAB micelle bilayer around the GNR is exchanged with a monolayer of MTAB that strongly binds to the GNR. This study found that MTAB GNRs had no toxicity in the human breast adenocarcinoma cell line, MCF-7 even at high concentrations (Vigderman et al., 2012b). In addition, 40% of MTAB GNR treatment was taken up by the cells, compared to less than 1% of their pegylated analogues, exceeding previously reported GNR uptake values (Vigderman et al., 2012b). However, Vigderman et al. (2012b) published TEM images that showed extensive aggregation of intracellular MTAB GNRs. This aggregation results in blue shift of plasmon resonance emissions, due to close proximity side by side assembly of GNRs, which moves the GNRs spectra MTAB GNRs out of the target NIR "water window" (Jain et al., 2006; Park, 2006). Therefore, new methods/techniques are needed to prevent the aggregation of MTAB GNRs in biological environment before they can be efficiently used in biomedical applications.

While the low toxicity and increased cellular uptake of MTAB GNRs are improvements over other GNR preparations, the loss of NIR optical properties described above limit their utility for biomedical applications.

2.1 Objective

The aim of this study is to address this limitation by combining MTAB replacement with TA over-coating (i.e. MTAB-TA GNRs) and comparing their properties relative to MTAB and Silica GNRs, as well as CTAB GNRs with and without TA over-coating. TA coated GNRs taken up by cells display a unique intracellular distribution pattern that appears to reduce particle aggregation (Alkilany et al., 2009; Untener et al., 2013). Due to this, it is hypothesized that MTAB-TA GNRs will exhibit enhanced biocompatibility and cellular uptake, while preventing particle aggregation to preserve key NIR optical properties within the A549 adenocarcinomic human alveolar basal epithelial cell line. The A549 cell line retains significant alveolar phenotype, and has been thoroughly characterized and used in numerous nano biocompatibility, bio-imaging and therapeutic

studies (Foster et al., 1998; Kuo et al., 2012; Mason & Williams, 1980; Uboldi et al., 2009; Zhang et al., 2012b).

3.0 METHODS

3.1 Synthesis of gold nanorods

GNRs with an approximate AR 4 (MTAB, MTAB-TA, and Silica) were purchased from Nanopartz (Loveland, CO, USA). GNRs of approximately AR 3 (CTAB, CTAB-TA, PEG) were synthesized according to a modified seed mediated procedure reported by Park and Vaia (2008). Briefly, a seed solution of CTAB (0.1 M) and chlorauric acid (0.1 M) is combined at room temperature with a growth solution of CTAB (0.1 M), chlorauric acid (0.1 M) silver nitrate (0.1 M) ascorbic acid (0.1 M). The CTAB was purchased from GFS chemicals (Powell, OH, USA). The chlorauric acid, ascorbic acid, silver nitrate, sodium borohydride, sodium Chloride, MOPS buffer and tannic acid were obtained from Sigma Aldrich (St Louis, MO, USA).

3.2 PEG functionalization of GNRs

CTAB GNRs were functionalized with PEG as previously reported (Untener et al., 2013) with modifications. Briefly, the GNRs were functionalized overnight with 1 mM thiol PEG (Nanocs, Boston, MA) two times (MW 20000 followed by MW 5000) to displace the surface bound CTAB molecules. The GNR samples were centrifuged at 8,000g and the supernatant was removed and replaced with sterile water to remove residual free CTAB.

3.3 TA functionalization of GNRs

CTAB GNRs were functionalized with TA according to a modified procedure reported by Ejima et al. (2013) stepwise with TA (24mM), and MOPS buffer (100 mM, pH 7.4) with vortexing after each addition. The GNR samples were then centrifuged at 3,000g and the supernatant was removed and replaced with sterile water to remove residual TA. The MOPS buffer and tannic acid were obtained from Sigma Aldrich (St Louis, MO, USA).

3.4 Characterization of GNRs

The purity and spectral signature of the GNRs were analyzed before use with UV–Vis spectrometry on a Bio TEK Synergy HT (Winooski, VT, USA) instrument. For evaluation of rod size and morphology, nanoparticles in solution were placed onto a formvar carbon coated copper TEM grid (Electron Microscopy Sciences, Hatfield, PA, USA) and dried. They were imaged with transmission electron microscopy (TEM) using a Hitachi H-7600 with an accelerating voltage of 120 kV. To assess the surface charge of the GNRs, zeta potential measurements were taken using laser Doppler electrophoresis on a Malvern Zetasizer, Nano-ZS. Agglomerate sizes of the GNRs in media were determined through dynamic light scattering (DLS), also on a Malvern Zetasizer (Malvern Instruments, MA, USA).

3.5 Cell culture conditions

The A549 human lung cell line (American Type Culture Collection (ATCC), Manassas, VA, USA) was maintained in RPMI 1640 cell culture media (Life Technologies, Grand Island, NY, USA) supplemented with 10% fetal bovine serum (Hyclone, Logan, UT, USA) and 1% penicillin streptomycin. Cells were maintained in a humidified incubator controlled at 37 °C and 5% CO₂. The same media composition was used for all GNR exposure procedures with the exception of the photo-thermal cellular ablation experiments where RPMI 1640 cell culture media without Phenol Red (Life Technologies, Grand Island, NY, USA) was used.

3.6 Cellular viability assessment

A549 human lung cell viability was evaluated using the CellTiter 96 Aqueous One Solution (MTS) (Promega, Madison, WI, USA) which monitors mitochondrial function and MultiTox-Glo Assay (LCDC) (Promega, Madison, WI, USA), which sequentially measures two protease activities; one is a marker of cell viability, and the other is a marker of cytotoxicity. Cells were seeded into a 96-well plate at a concentration of 2×10^3 cells per well and the following day treated with the stated GNR conditions. After exposure period, the cells viability was determined in accordance with the manufacturer's protocol. Result represents three independent trials with the average \pm the standard error reported.

3.7 ROS assay

The intracellular generation of reactive oxygen species (ROS) after GNR exposure was evaluated using CM-H₂DCFDA (Life Technologies, Grand Island, NY, USA) which is based on intracellular esterases and oxidation that yields a fluorescent product that is trapped inside the cell. Cells were seeded into a 96-well plate at a concentration of 2×10^3 cells per well and the following day treated with the stated GNR conditions. After 1 h, 6 h, and 24 h the intracellular ROS generation was determined in accordance with the manufacturer's protocol. Results represent three independent trials with the average \pm the standard error reported.

3.8 RT-PCR for surfactant protein A and inflammatory gene expression

The A549 human lung cells were seeded in 6 well plates at a cell density of 6×10^5 cells/well. Following seeding and overnight incubation, the cells were treated with 20 µg/mL of GNRs, while untreated cells served as a negative control. Following 8 and 24 h of exposure, RNA was isolated using the RNeasy Mini Kit from Qiagen according to the manufacturer's protocols. The RNA quantity and purity was assessed using a NanoDrop 1000 and 1 µg of RNA was converted to cDNA using the High-Capacity cDNA Reverse Transcription Kit (Life Technologies, Grand Island, NY). The cDNA was then used in subsequent TaqMan® PCR assays to determine changes in gene expression for SPA1, Il-6, and TNF- α and, while Hprt1 was used as a housekeeping gene to normalize expression changes. The gene expression was presented as a fold change determined using the $2^{-\Delta\Delta C(T)}$ method (Livak & Schmittgen, 2001) and represents three independent trials with the average \pm the standard error reported.

3.9 Human cytokine immunoassay

Secreted inflammatory proteins following GNR exposure were evaluated using the Bio-Plex Pro Human Cytokine 8-Plex Immunoassay (BIO-RAD, Hercules, CA). The multiplex assay detects the following cytokines: GM-CSF, IFN- γ , IL-2, IL-4, IL-6, IL-8, IL-10 and TNF- α . A549 cells were seeded in a 6-well plate at 6×10^5 cells/well for 24 h then exposed to GNRs (20 $\mu\text{g/mL}$) for 8 h. Next, the cells were washed three times with warm PBS, media was replaced and cells were incubated for 16 h. The media was then collected and cytokine concentration was determined in accordance with the manufacturer's protocol. Results represent three independent trials with the average \pm the standard error reported.

3.10 Quantification of cellular uptake of GNRs by ICP-MS

A total of 1×10^5 cells/well were seeded on 12mm diameter glass slides in a 24-well plate in triplicate then dosed with 5 $\mu\text{g/mL}$ GNRs for 24 h. The cell samples were then washed three times with warm PBS and digested with an aqueous solution containing 0.05% Triton X-100, 3% HCl, and 1% HNO₃. For GNR retention study the cell samples were then washed three times after 24 h post-exposure with warm PBS and media was replaced and repeated on day 4 and day 8. The intracellular gold concentration was determined through inductively coupled plasma mass spectrometry (ICP-MS) on a Perkin-Elmer ICP-MS 300D instrument (Santa Clara, CA). ICP-MS was conducted in standard mode with 20 sweeps per reading, at one reading per replicate, and three replicates per sample with a dwell time of 100 ms. A calibration curve was obtained using four gold standard solutions and the addition of an internal standard was done to ensure that no interferences were occurring. Results represent three independent trials with the average \pm the standard error reported.

3.11 Darkfield microscopy

A549 human lung cells were seeded at 1.25×10^5 cells per chamber on a 2-well chambered slide and grown for 24 h. The following day the cells were dosed with 20 $\mu\text{g/mL}$ GNRs for 24 h. After 24 h, the cells were fixed with 4% paraformaldehyde and incubated with Alexa Fluor 555-phalloidin for actin staining and DAPI for nuclear staining (Life Technologies, Grand Island, NY). The slides were then sealed and imaged using a CytoViva 150 ultra resolution attachment on an Olympus BX41 microscope (Aetos Technologies, Opelika, AL). All experiments were performed at a minimum of three times. Care was taken to ensure full evaluation of each slide for well represented images.

3.12 Transmission electron microscopy

A549 human lung cells were seeded in a 6-well plate at 6×10^5 cells/well for 24 h then exposed to the stated GNRs concentration (5 and 20 $\mu\text{g/mL}$) and washed three times with warm PBS. The cells then fixed overnight in 2% paraformaldehyde and 2% glutaraldehyde after indicated duration (24 h, 4 days or 8 days). The cells were then stained with 1% osmium tetroxide, washed, and subsequently dehydrated with ethanol dilutions ranging from 50 to 100%. The cells were then embedded in LR White resin and cured overnight at 60 °C under a vacuum, after which the samples were sectioned using a Leica EM UC7 Ultramicrotome. Cell sections of 70 nm in thickness were placed on a Formvar carbon coated copper TEM grid (Electron Microscopy Sciences, Hatfield, PA)

and were imaged. Transmission electron microscopy (TEM) was performed using a Hitachi H-7600 with an accelerating voltage of 120kV. All experiments were performed at minimum three times. Care was taken to ensure full evaluation of each sectioned sample for well represented images.

3.13 Hyperspectral microscopy

A549 human lung cells were seeded at 1.25×10^5 cells per chamber on a 2-well chambered slide and grown for 24 h and the following day was exposed to GNR (20 μ g/ml). After 24 h, the cells were fixed with 4% paraformaldehyde. The slides were then sealed and imaged using a CytoViva Hyperspectral Imaging System (Auburn, AL). Image capture times and setting remained constant for all samples. Finally, hyperspectral analysis was performed using CytoViva's hyperspectral image analysis software. Results represent three independent trials with the average \pm the standard error reported. Care was taken to ensure full evaluation of each slide for well represented images.

3.14 Two-photon luminescence microscopy

A549 cells were seeded at 1.25×10^5 cells per chamber on a 2-well chambered slide and grown for 24 h and the following day was exposed to GNR (20 μ g/ml). After 24 h, the cells were fixed with 4% paraformaldehyde. The slides were then sealed and imaged using an Olympus fv100 multi-photon confocal microscopy Imaging System with a 25x (NA 1.05) water immersion objective (Center Valley, PA). Ti-sapphire laser (Mia-Tai laser, Spectra-Physics, Mountain View, CA) at 810 nm set at 0.5% transmissivity was used as excitation light source and a two-photon luminescence emission was detected by a photomultiplier tube with an ET660/40m-2p (640-680 nm) band pass filter (Chroma Technology, Bellows Falls, VT, USA). Cells were imaged at four times zoom and 0.15 μ m/slices though the cells were captured. Image capture setting remained constant for all samples. Finally, image processing and intensity analysis was performed using Olympus's Fluoview (Center Valley, PA) image analysis software. Extracellular MTAB GNRs were used as an image intensity control. Results represent two independent trials (minimum of 8 replicates per trial) with the average \pm the standard error reported.

3.15 Plasmonic photo-thermal cells ablation

A549 cells were seeded in a 6-well plate at 6×10^5 cells/well for 24 h then exposed to GNRs (20 μ g/mL) and washed three times with warm PBS. Cells were then exposed to the cell-permeant calcein AM (2 μ M) (Life Technologies, Grand Island, NY) and the non-cell-permeant ethidium homodimer-1 (4 μ M) (Life Technologies, Grand Island, NY) and after 15 min cells were irradiated with an 810nm 3W Ti-sapphire laser (Mia-Tai laser, Spectra-Physics, Mountain View, CA) for 60 sweeps at indicated power level. Cellular ablation was measured at the 0, 1, 5, 10 min time points. Results represent four independent trial with the average reported. Care was taken to ensure full evaluation of each slide for well represented images.

3.16 Statistical analysis

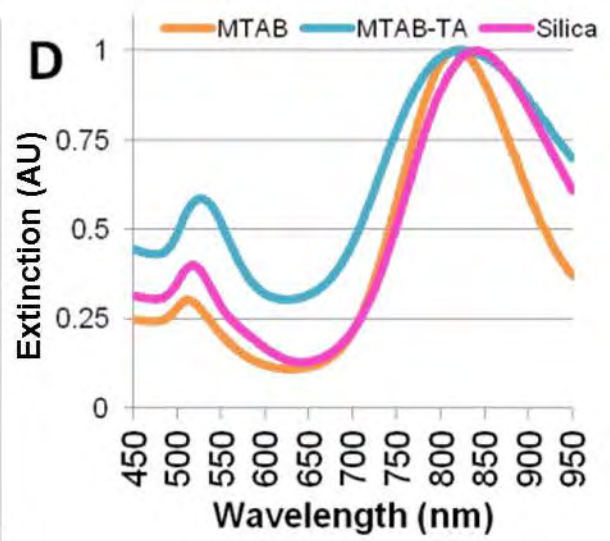
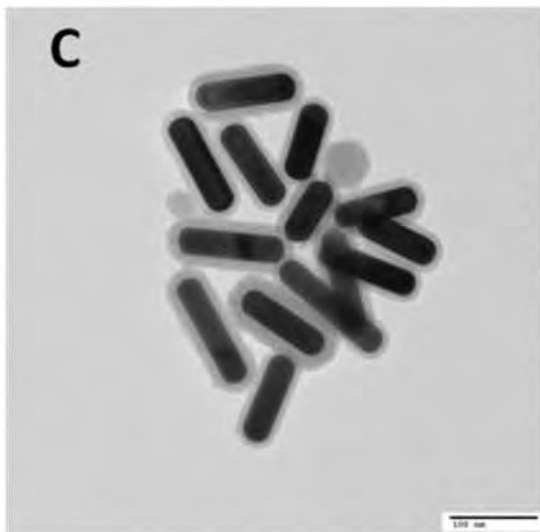
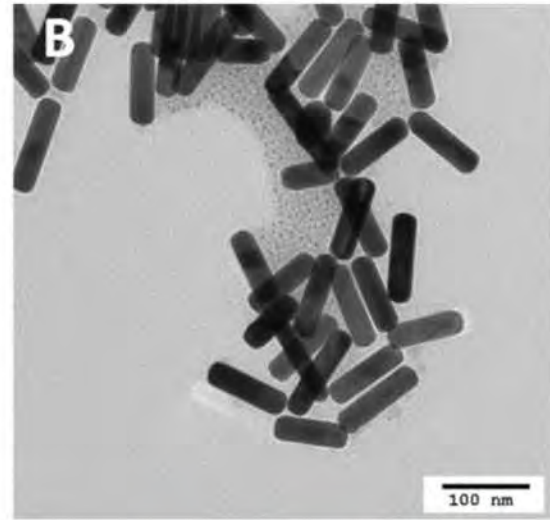
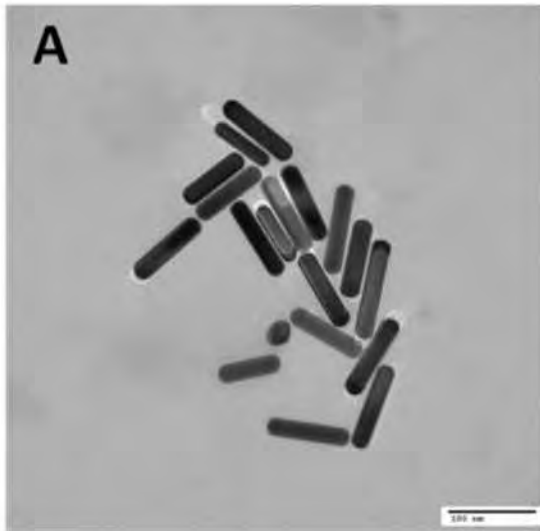
All experimental results represent a minimum of three independent trials unless otherwise stated. Data were expressed as the mean \pm the standard error of the mean (SEM).

Statistical calculations were performed using SAS (Version 9.1) or GraphPad Prism (version 5.02, GraphPad Software Inc. La Jolla, CA, USA) to determine statistical significance at p values of <0.05 (*), <0.01 (**), or <0.001 (***).

4.0 RESULTS AND DISCUSSION

4.1 GNR characterization

GNR characterization was performed to determine their key physicochemical properties and to verify particle uniformity prior to experiments. TEM images demonstrated that GNR sets were uniform in size and morphology (Figure 1 and Table 1). The AR 4 GNRs had a diameter 24.5 ± 1.1 nm and a length of 104 ± 1.2 nm on average. UV–Vis analysis confirmed predicted SPR peaks based on calculated AR (Figure 1D & H) (Jun et al., 2008). To determine GNR surface charge, zeta potential analysis was performed on each particle (Table 1). From this analysis, it was shown that MTAB GNRs were positively charged as expected due to MTABs quaternary ammonium cation. MTAB-TA GNRs displayed a negative surface charge, indicating that functionalization with TA was successful. When the GNRs were exposed to a protein rich environment (culture media) both MTAB and MTAB-TA GNRs displayed a negative surface charge, -15.5 and -18.1 mV respectively. Hydrodynamic size of GNRs in culture media showed that TA coated GNRs were on average larger than MTAB GNRs.



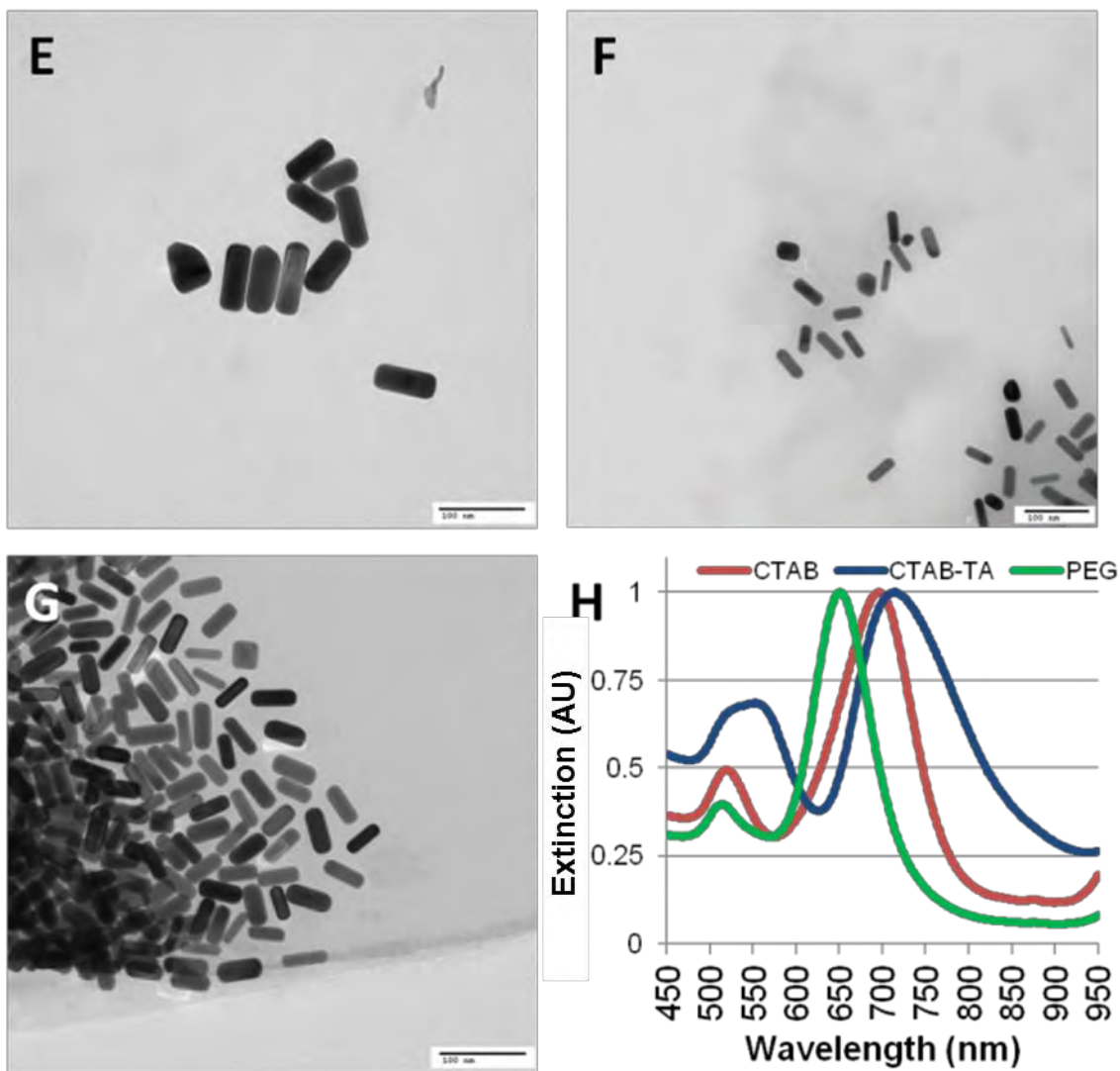


Figure 1. GNR characterization.

Representative TEM images of A. MTAB, B. MTAB-TA and C. Silica; D. UV-Vis absorption spectra of MTAB (gold), MTAB-TA (light blue) and Silica (pink) GNRs. Representative TEM images of E. CTAB F. CTAB-TA and G. PEG. H. UV-Vis absorption spectra of CTAB (red), CTAB-TA (dark blue) and PEG (green) GNRs.

Table 1. Characterization of GNRs

Name	Primary Size (nm)	Aspect Ratio	Surface Chemistry	Surface Charge (mV)	Hydrodynamic Diameter in Media (nm)
MTAB	25x102 \pm 4.0	4.1	MTAB	36.0	288.2 \pm 9.5
MTAB-TA	25x104 \pm 2.8	4.1	MTAB-TA	-15.7	509.6 \pm 66.9
Silica	25x106 \pm 3.0	4.2	Mesoporous Silica	11.6	455.8 \pm 11.3

CTAB	25x72 ±3.1	2.9	CTAB	37.2	318.7 ±4.3
CTAB-TA	22x60 ±2.1	2.8	CTAB-TA	-19.4	416.4 ±35.2
PEG	19x48 ±2.6	2.5	PEG	2.1	75.2 ±0.9

4.2 MTAB-TA GNRs display enhanced biocompatibility

Since GNRs are known to exhibit toxicity linked to their physiochemical properties, we compared the biocompatibility of CTAB and MTAB GNRs with and without TA coating by evaluating membrane integrity and mitochondrial function (Figure 2). Overcoating CTAB and MTAB GNRs with TA resulted in enhanced biocompatibility, with no significant decrease in viability with exposure to MTAB-TA GNRs concentrations as high as 320 µg/mL (Figure 2B). Following exposure to MTAB and MTAB-TA GNRs (20 µg/ml), A549 cells showed no significant effects on their cellular viability with viability remaining over 95% after 24 h and 48 h (Figure 2 A & C). The viability data demonstrated that the reported toxicity of CTAB-TA was not due to the TA over-coating, negative surface charge or the AR of these GNRs, suggesting that the residual CTAB bilayer was the cause of the toxicity (Alkilany et al., 2009).

Next, cellular stress was examined by measuring changes in reactive oxygen species (ROS) after cellular exposure to the GNRs. The 6 h time point was chosen based on maximum ROS response before cell death. A549 cells showed no significant increase in ROS levels after exposure to MTAB and MTAB-TA GNRs, even at four times the treatment concentration of the CTAB GNRs that significantly increased ROS levels (Figure 2D).

Finally, GNR surface chemistry has been shown to alter cellular response, and gene and protein expression analysis has been used to detect subtle changes after exposure to GNRs and other NMs (Grabinski et al., 2011; Hauck, et al., 2008b). Therefore, we evaluated the inflammatory response of exposure to MTAB and MTAB-TA GNRs in A549 human lung cells by measuring changes in surfactant protein A (SPA1) and inflammatory cytokine (SPA1, IL-6, IL-8 and TNF-α) mRNA expression and GM-CSF, IFN-γ, IL-2, IL-4, IL-6, IL-8, IL-10 and TNF-α cytokine release.

A549 cells showed no significant change in the measured mRNA (SPA1, IL-6, IL-8 and TNF -α) levels after 8 h and 24 h (Figure 3) exposure to MTAB and MTAB-TA GNRs (20µg/mL). However, a lower concentration of CTAB GNRs (2.5µg/mL) resulted in a significant increase of IL-6, IL-8 and Tnf-α mRNA after 8 h and SPA1, IL-8 and Tnf-α mRNA after 24 h.

In addition there was no significant change in GM-CSF, IFN-γ, IL-2, IL-4, IL-6, IL-8, IL-10 and TNF-α cytokine release after exposure to MTAB and MTAB-TA GNRs (20µg/mL). Overall cytokine release was low with only GM-CSF and IL-8 cytokines reaching concentrations greater than 1pg/mL (Figure 4). Together these results demonstrated the high *in vitro* biocompatibility of both MTAB and MTAB-TA GNRs. In view of their biocompatibility based on these initial findings and their longitudinal SPR peaks in the NIR “water window”, we chose to further explore the cellular association and *in vitro* hyperspectral signature of MTAB GNRs with and without a TA coating.

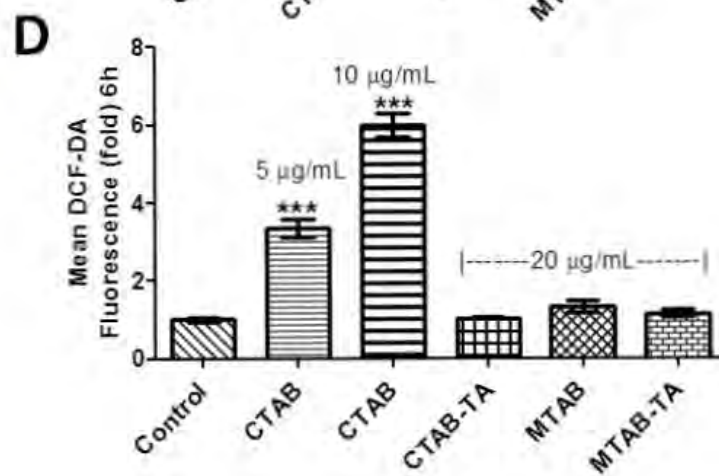
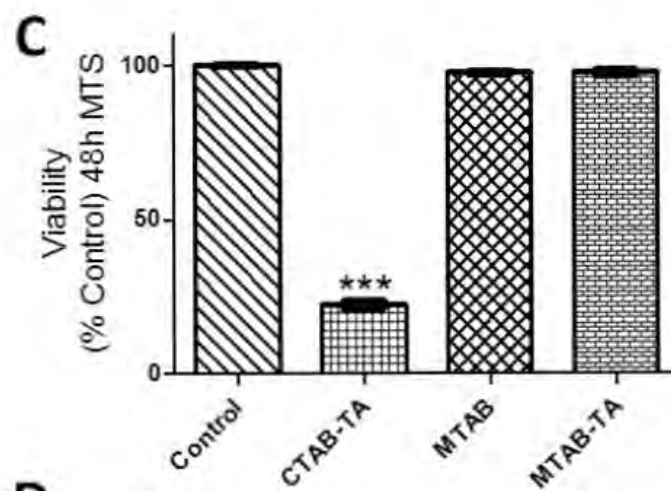
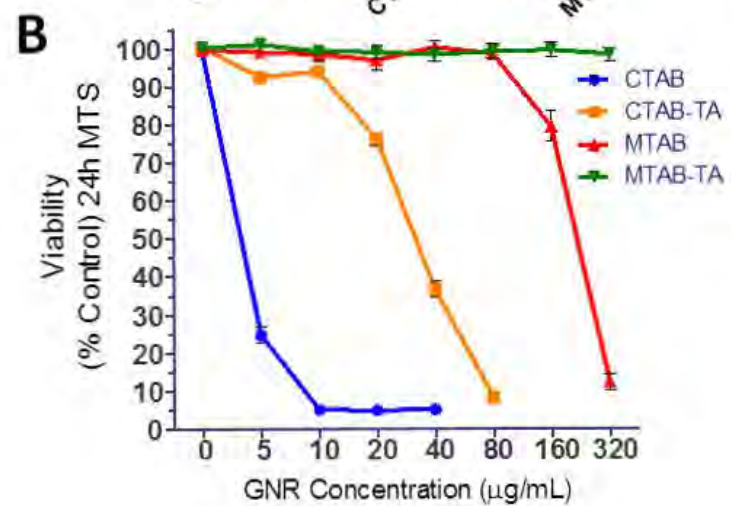
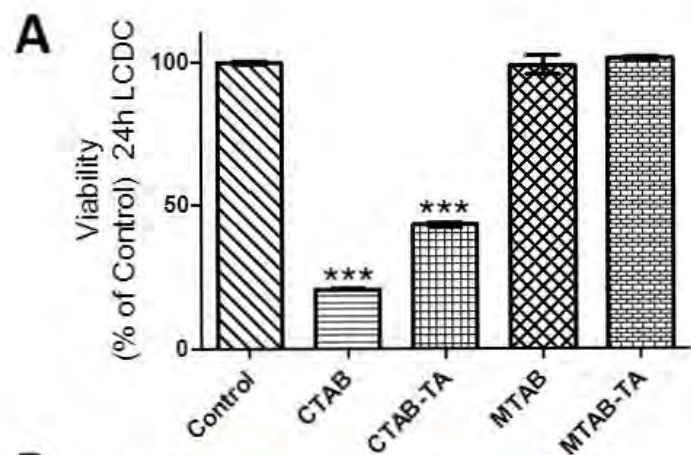
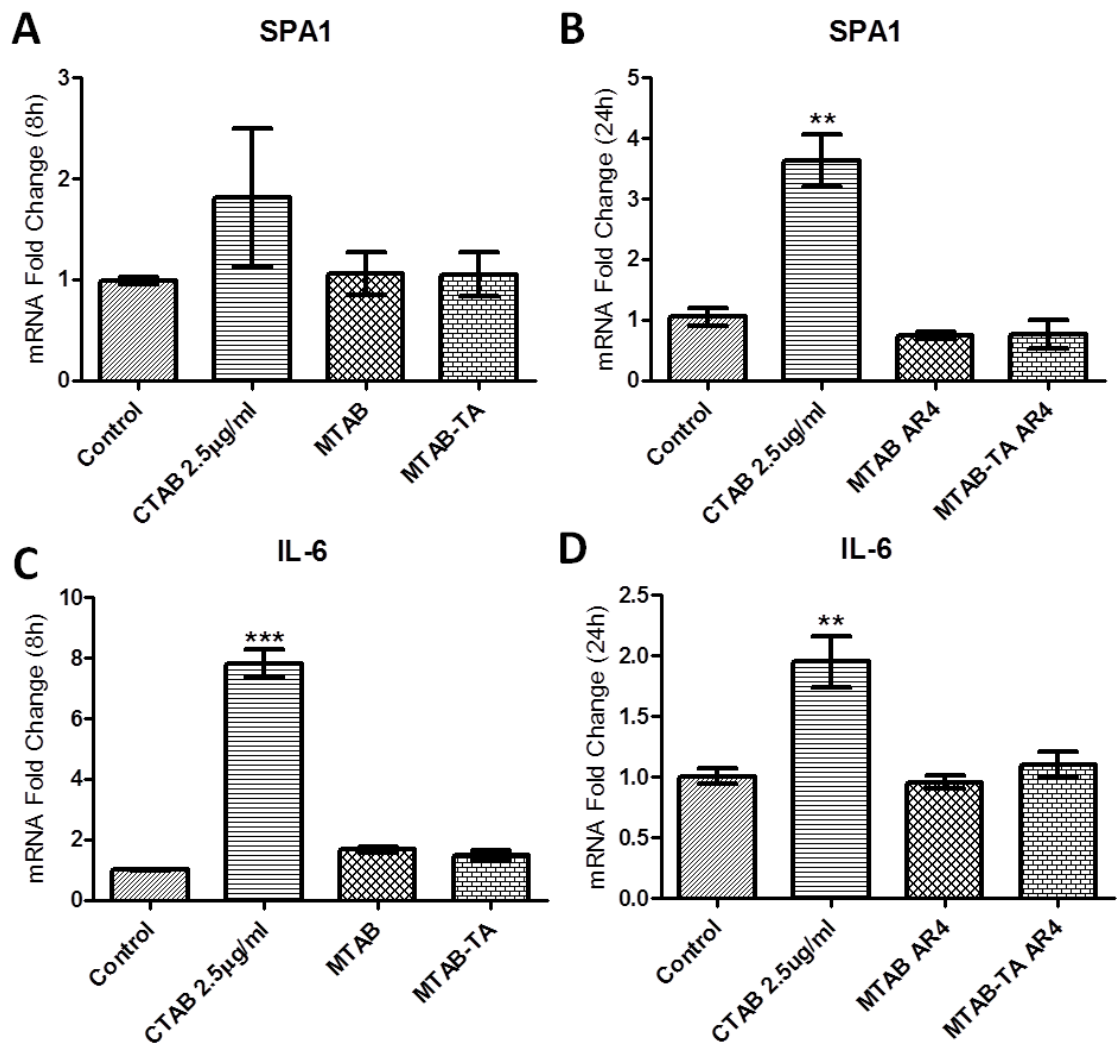


Figure 2. MTAB-TA GNRs demonstrate enhanced biocompatibility.

A549 human lung cells were exposed to MTAB and MTAB-TA GNRs for 24 h or 48 h. Cell viability was assessed using LCD or MTS assays and was represented relative to the control cells. A. LCD assay showed no significant decrease in cell viability following exposure (20 $\mu\text{g/mL}$) for 24 h. However, exposure to CTAB and CTAB-TA GNRs (20 $\mu\text{g/mL}$) resulted in a significant decrease in cell viability. B. 24 h MTS assay results indicated that the TA coating enhanced the biocompatibility of both CTAB and MTAB GNRs. MTAB-TA showed no significant decrease in viability at concentrations as high as 320 $\mu\text{g/mL}$. C. 48 h MTS assay showed that the cytotoxicity of CTAB-TA GNRs (20 $\mu\text{g/mL}$) significantly increases over time whereas there was no significant decrease in viability after exposure to MTAB and MTAB-TA GNRs. D. ROS assay demonstrated no significant increase in ROS after exposure to CTAB-TA, MTAB and MTAB-TA GNRs. Taken together, these results demonstrate the high biocompatibility of both MTAB and MTAB-TA GNRs. Statistical significance was determined using a one way ANOVA with Dunnett's post-hoc tests.



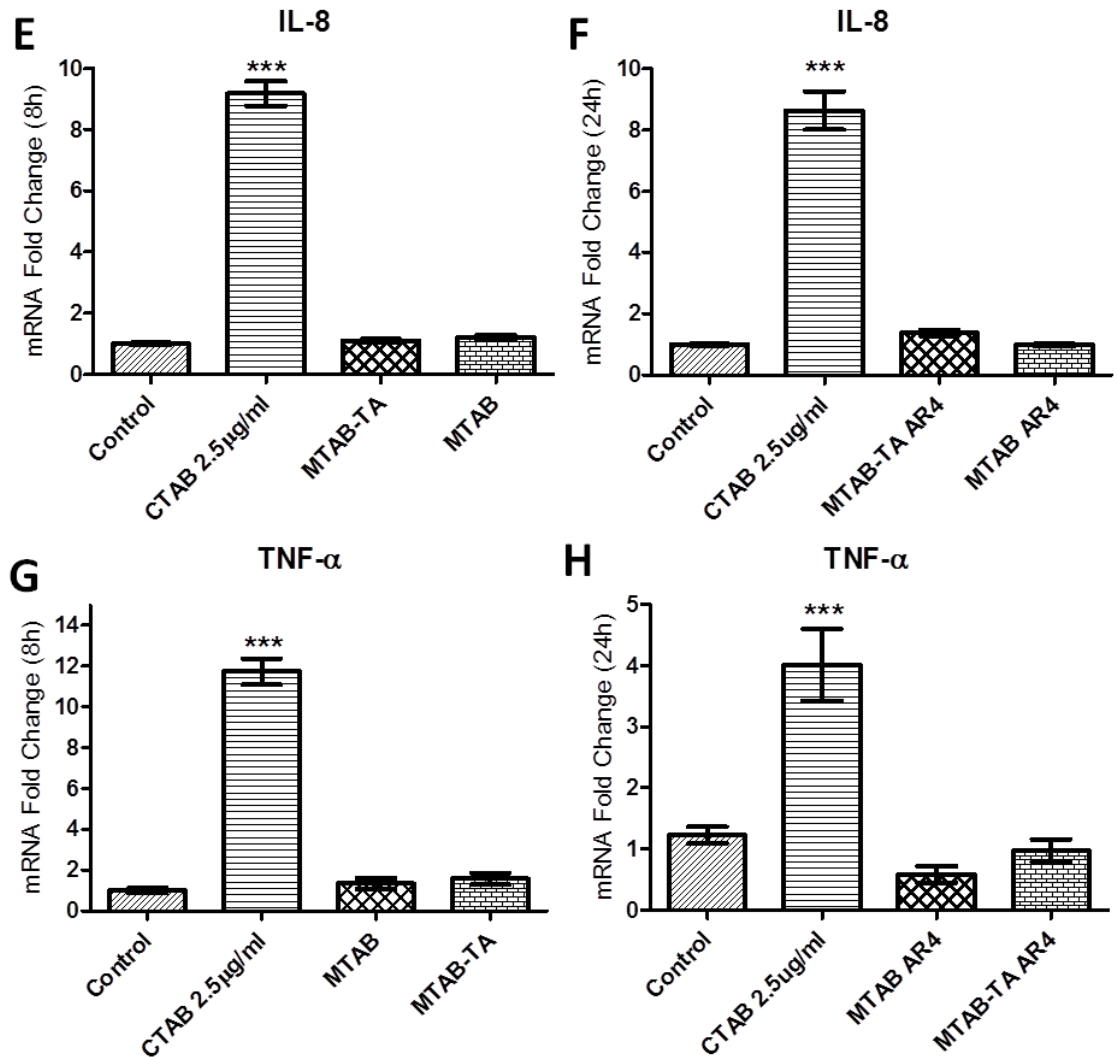


Figure 3. MTAB-TA GNRs do not alter inflammatory gene expression.

A549 human lung cells were exposed to GNRs for 8 h and inflammatory gene expression was assessed at 8 h and 24 h using RT-PCR. MTAB and MTAB-TA GNRs (20μg/mL) resulted in no significant change in A-B. SPA1, C-D. IL-6, E-F. IL-8 and G-H. TNF -α mRNA levels after 8 h or 24 h. In contrast, CTAB GNRs (2.5μg/mL) resulted in a significant increase of IL-6, IL-8 and TNF -α mRNA after 8 h and SPA1, IL-8 and TNF -α mRNA after 24 h in A549 human lung cells. Statistical significance was determined using a one-way ANOVA with a tukey post-hoc tests.

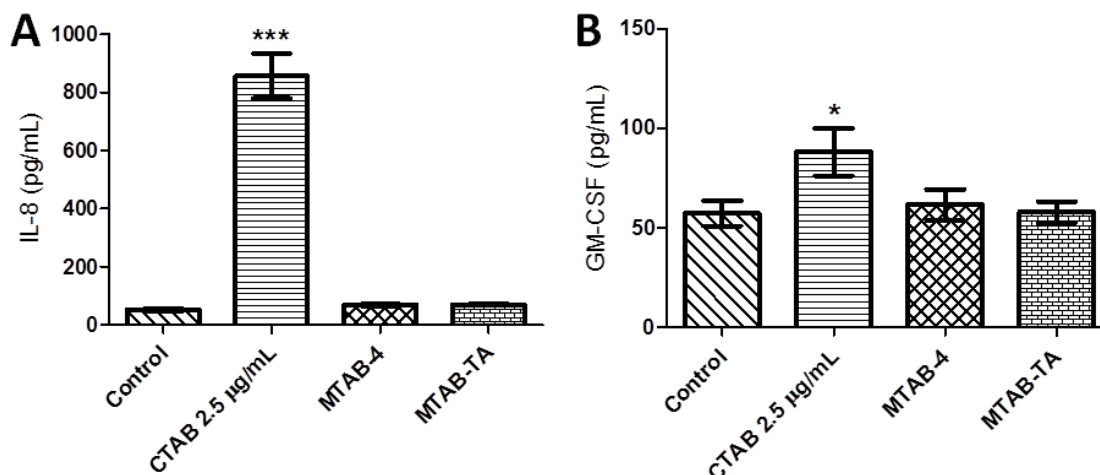


Figure 4. MTAB-TA GNRs do not alter cytokine release.

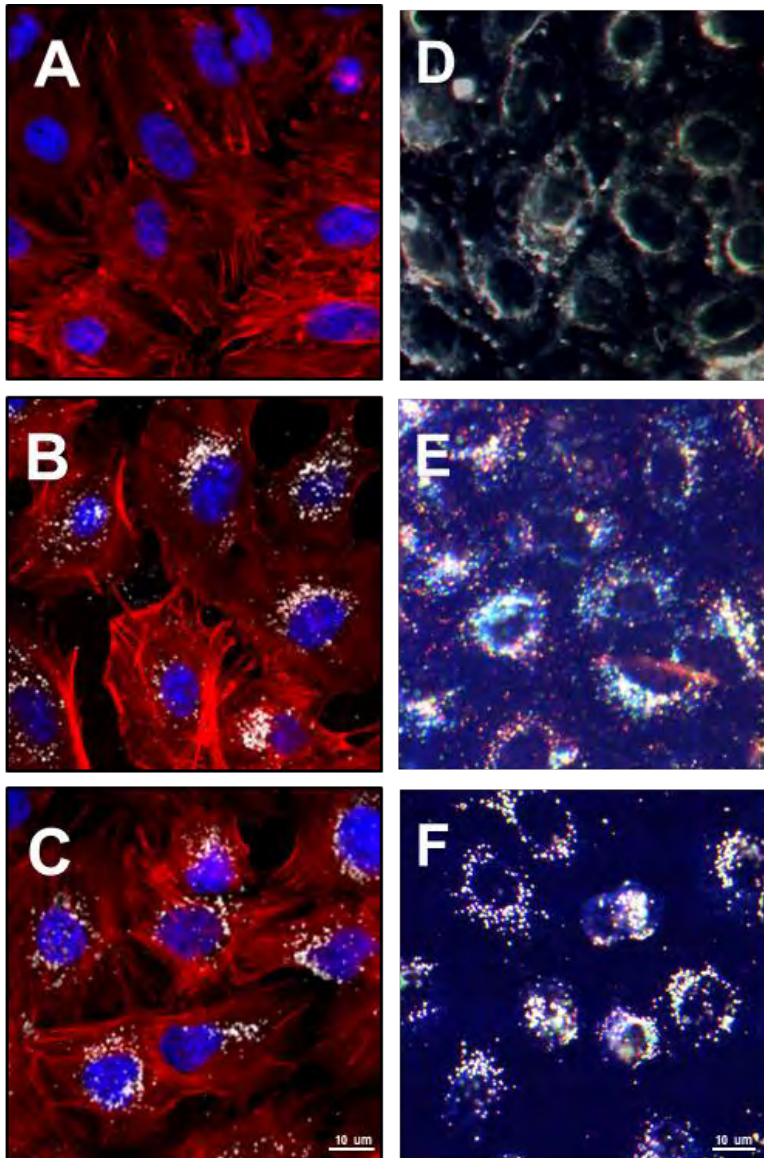
A549 human lung cells were exposed to GNRs for 8 h and inflammatory gene expression was assessed at 24 h using a multiplex bead-based flow cytometric immunoassay. MTAB and MTAB-TA GNR exposures (20µg/mL) resulted in no significant change in released A) IL-8 and B) GM-CSF protein levels. Exposure to CTAB GNRs (2.5µg/mL) resulted in a significant increase of released IL-8 and GM-CSF protein in A549 human lung cells. Statistical significance was determined using a one-way ANOVA with a tukey post-hoc tests.

4.3 Cellular association and *in vitro* intracellular hyperspectral signature of MTAB-TA GNRs

Visualization of cellular association of MTAB and MTAB-TA GNRs was examined using darkfield microscopy (Figure 5A-C). Both GNRs interacted with A549 cells and had a high level of cellular association. MTAB and MTAB-TA GNRs appeared to be densely packed, suggesting the associated and/or internalized GNRs are in clusters. In addition the morphology of A549 cells were retained, further confirming the biocompatibility of the GNRs.

Next, we performed *in vitro* hyperspectral imaging (HSI) microscopy to investigate the GNR optical properties after cellular association. HSI combines the use of darkfield microscopy and spectroscopy for the measurement of the reflectance spectrum at individual pixels in a micrograph. HSI analysis has successfully been used for characterizing gold nanoparticle aggregation, protein adsorption and cell uptake in biological/cellular environments (Grabinski et al., 2013). This *in vitro* analysis of MTAB and MTAB-TA GNRs is critical, as many studies have shown that biological/cellular environments can alter the optical properties of GNRs and other nanomaterials. HSI analysis demonstrated that both GNRs had a strong association to A549 cells and appeared to indicate clustering of both MTAB and MTAB-TA GNRs (Figure 5D-E). However, the MTAB GNRs displayed a vast array of colors when compared to the primarily white appearance of the MTAB GNRs, indicating a shift out of the NIR to the visible spectra. Further, MTAB-TA GNRs have a more uniform clustering that allows for easier identification and delineation of the GNR clusters. Next, the reflectance spectrum of individual GNR clusters were measured and compiled to create a hyperspectral profile

for both MTAB and MTAB-TA GNRs (Figure 5G). The hyperspectral analysis revealed that the spectral maximas were decreased in both hyperspectral profiles, as compared to their spectral profiles as synthesized (Figure 13). This blue shift in spectral maximas could have resulted from GNR intracellular aggregation with side-by-side assembly (Jain et al., 2006; Stacy et al., 2013). This would effectively lower the GNR AR resulting in the changes seen in hyperspectral profile. The hyperspectral profile of MTAB-TA GNRs (n=385) revealed a sharper peak still within the NIR target “water window” (~732 nm). In contrast, the hyperspectral profiles of MTAB GNRs (n=194) and control (n=335) displayed broad peaks that were located primarily outside the target NIR window (~630-679 nm and ~550-634 nm, respectively). In addition, MTAB-TA GNRs demonstrated a greater than 2.5 fold increase in scattering intensity after cellular association over the MTAB GNRs. Together, these results suggest that the MTAB-TA GNR form uniform GNR clusters that are able to preserve their NIR optical properties after cellular uptake. This finding is significant for nano-based bio-imaging and therapeutic applications as a strong spectral profile in the NIR “water window” after exposure to biological/cellular environments is required for optimum efficacy in biomedical applications. One possible explanation of the differences in hyperspectral signature may be due to differences in GNR uptake. Another possible explanation could be due to differences in aggregation states after cellular association and/or internalization (Aaron et al., 2009). To test these possibilities, we set out to examine MTAB and MTAB-TA GNR uptake by Inductively Coupled Plasma Mass Spectrometry (ICP-MS) and intracellular aggregation states by TEM.



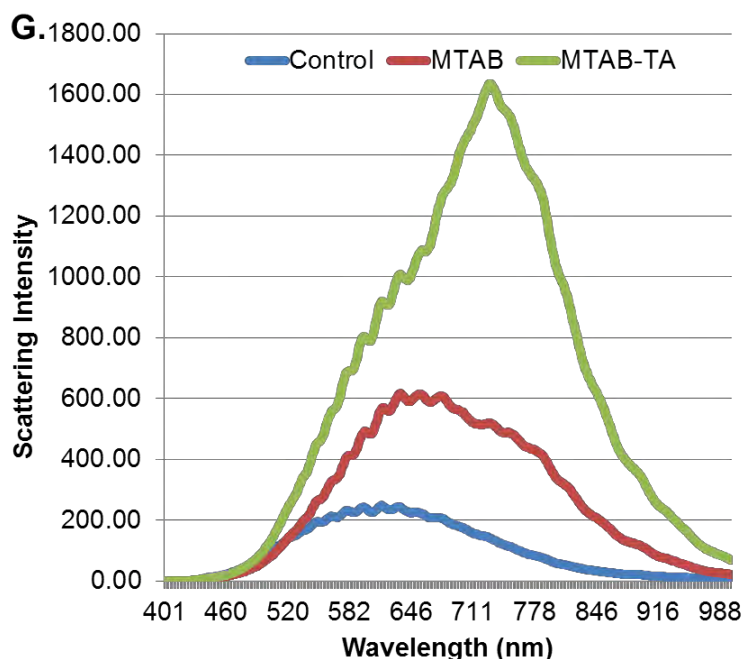


Figure 5. Intracellular MTAB-TA GNRs retain NIR optical properties.

Representative darkfield and hyperspectral images of A549 cells with analysis of intracellular GNR optical properties. Fluorescent images following 24 h exposure to GNR (20 $\mu\text{g/mL}$) A. Control, B. MTAB and C. MTAB-TA. Fluorescent images illustrate clustering of GNRs with the morphology of A549 cells retained. A549 cells underwent actin (red) and nuclear (blue) staining with GNRs (reflecting white). Hyperspectral images following 24 h exposure to GNR (20 $\mu\text{g/mL}$) D. Control, E. MTAB and F. MTAB-TA. Results revealed that MTAB-TA presented a more uniform clustering and spectral profile based on their appearance in the hyperspectral images. G. Analysis of *in vivo* optical properties. MTAB hyperspectral profile (red) (n=194) displayed low intensity and loss of NIR optical properties of GNRs. In contrast, the MTAB-TA hyperspectral profile (green) (n=385) showed preserved NIR properties with intensity greater than 2.5 times that of the MTAB hyperspectral profile. Control (blue) (n=335).

4.4 High uptake with unique clustering pattern of MTAB-TA GNRs

Quantification of cellular uptake in the MTAB and MTAB-TA GNRs (5 $\mu\text{g/mL}$) was determined by ICP-MS. Both MTAB and MTAB-TA GNRs showed a high level (40% and 39% of treatment respectively) of cellular uptake compared to 1.5% with PEG GNRs or 15% with silica coated GNRs (Figure 6A). These results are similar to the 40% uptake of MTAB GNRs in MCF-7 cells reported by Vigderman et al (Vigderman et al., 2012b). In addition, this finding suggests that the TA over-coating negative surface charge has minimal impact on cellular uptake of MTAB-TA GNRs. These findings appear to differ from other studies that report that GNRs with a positive surface charge have a greater cellular association and uptake than GNRs with a negative surface charge (Hauck et al., 2008a; Qiu et al., 2010). It was originally proposed that the positive surface charge on the GNRs was attracted to the negatively charged membrane of the cell resulting in higher GNR cellular association (Hauck et al., 2008a). However, when GNRs of

differing charge are placed in a biological environment (or simulated biological environment such as culture media) the GNRs will take on the charge of the biological environment (Qiu et al., 2010). This is in agreement with our finding that both MTAB and MTAB-TA GNRs displayed a negative surface charge, -15.5 and -18.1 mV, respectively. It has been more recently proposed that the greater uptake levels seen with GNRs with a positive surface charge is because of their greater affinity for protein and formation of a protein corona that strongly influences the GNRs cellular uptake (Nel et al., 2009; Qiu et al., 2010; Walkey & Chan, 2012; Walkey et al., 2014). TA has a strong attraction for protein and cellular membranes that has been well documented (Van Buren & Robinson, 1969; Wagner, 1976). In addition, it has been reported that TA coated gold NMs have strong cellular association and unique form of cellular uptake (Mukhopadhyay et al., 2012; Untener et al., 2013). Therefore the MTAB-TA GNRs may form a distinctive protein corona that may account for their uptake properties; thus, further research on the impact of the protein corona of TA GNRs and other NM is needed. The finding that there is no significant difference in uptake of the two GNRs further suggests that the difference seen in hyperspectral profiles is not significantly impacted by differences in cellular uptake of the two GNRs.

The concentration of GNR remained at that level for up to 8 days post exposure with 93% and 90% retention of the MTAB and MTAB-TA GNRs, respectively (Figure 6B). This suggests that there is minimal exocytosis of the GNRs and/or high reuptake of exocytosed GNRs as supported by the previous finding on GNR trafficking (Zhang, W. et al., 2013a). Therefore, we investigated the intracellular state of the GNRs, as previous studies have shown that the aggregation state of GNRs can affect their spectral profiles (Kelly et al., 2003; Sosa et al., 2003).

The distribution of MTAB and MTAB-TA GNRs (5 $\mu\text{g/ml}$) within the cell was observed using TEM (Figure 7). MTAB-TA GNRs demonstrated low aggregation of GNRs with a unique distribution pattern/clusters within the A549 cells. In contrast, MTAB GNRs appeared aggregated in dense clusters and/or tightly packed crest shape groupings. This suggests aggregation of the MTAB GNRs in the cell may account for the differences seen in the two GNRs spectral profiles after cellular association.

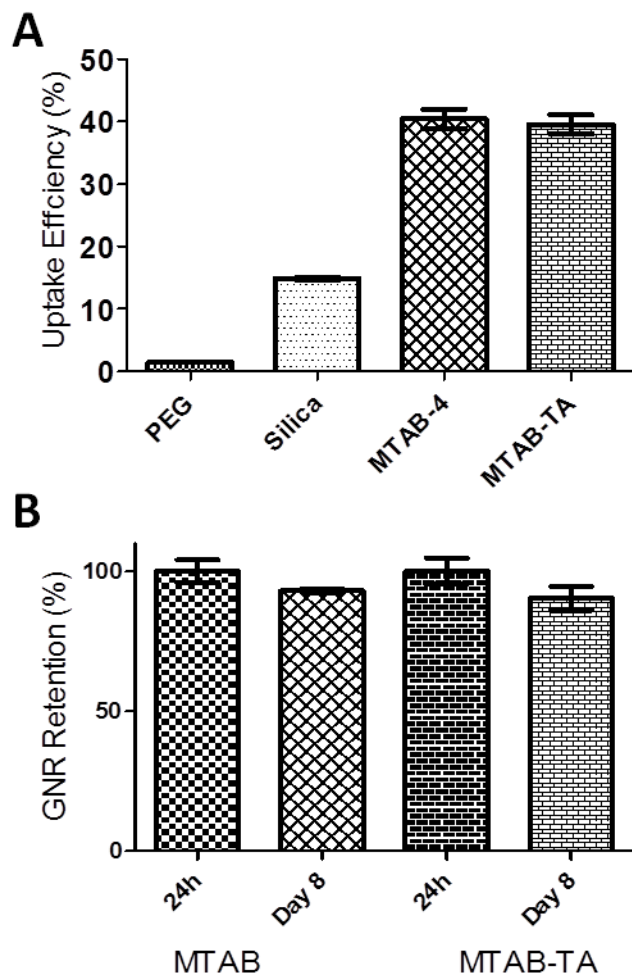


Figure 6. Uptake and retention of MTAB-TA GNRs

A549 human lung cells were exposed to GNRs (5 $\mu\text{g/mL}$) for 24 h, GNR uptake was quantified using ICP-MS. A. Results show high level of uptake of 40% for MTAB and 39% for MTAB-TA GNRs compared to PEG (1.5%) and Silica (15%) GNRs. B. After 8 days post exposure 93% and 90% of the MTAB and MTAB-TA GNRs were retained, respectively. Statistical significance was determined using a one-way ANOVA with a Tukey post-hoc tests.

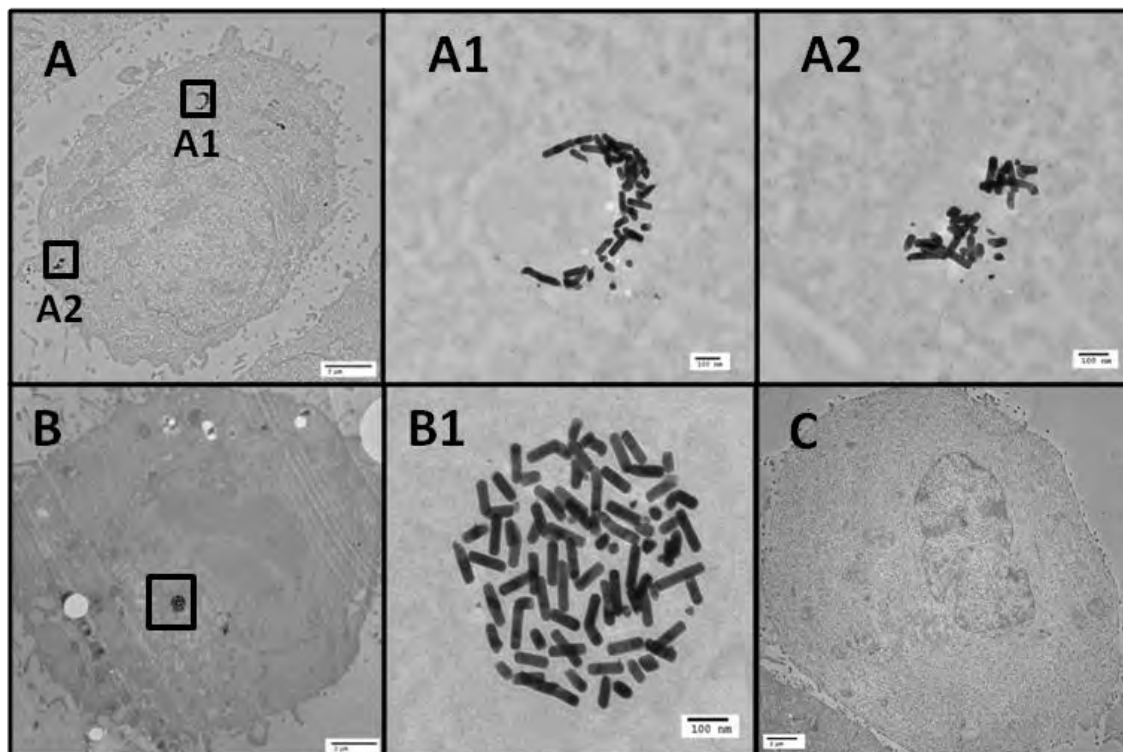


Figure 7. Visualization of MTAB-TA GNR uptake.

Representative TEM Images of A549 cells after 24 h GNR exposure (5 μ g/ml). A. MTAB B. MTAB-TA C. Control. Results demonstrated intracellular aggregation of MTAB GNRs and low aggregation of MTAB-TA GNRs.

4.5 Low intracellular aggregation of MTAB-TA GNRs.

Since concentration can influence nanoparticle aggregation, we studied this cellular patterning at 20 μ g/ml. At this new concentration, MTAB GNRs displayed small and large tightly packed clusters (Figure 8 A1,A4), crest (Figure 8 A3,5,6) and doughnut (Figure 8 A2) shaped groupings with aggregation of GNRs. MTAB-TA GNRs again displayed distinctive GNR cluster patterns with low aggregation of GNRs (Figure 8 B). Most GNRs are taken up through receptor-mediated endocytosis and further trafficked via an endo-lysosomal pathway (Chithrani et al., 2009). Further, it appears that the MTAB-TA GNR clusters are less compressed/density packed than the MTAB GNR groupings. After 8 day post exposure the number of GNR clusters/groupings per cell in both the MTAB and MTAB-TA exposed cells decreased possibly due to consolidation of the GNR groupings and by cell division (Figure 9). However, there is only a slight decrease in the total amount of GNRs present in the sample (Figure 6B). This supports the finding of Zhang et al (2013a) that demonstrated the long term retention of gold nanoparticles in NDA-MB-231 breast cancer cells with the concentration of GNRs in cells correlating with their rate of cellular division rather than exocytosis.

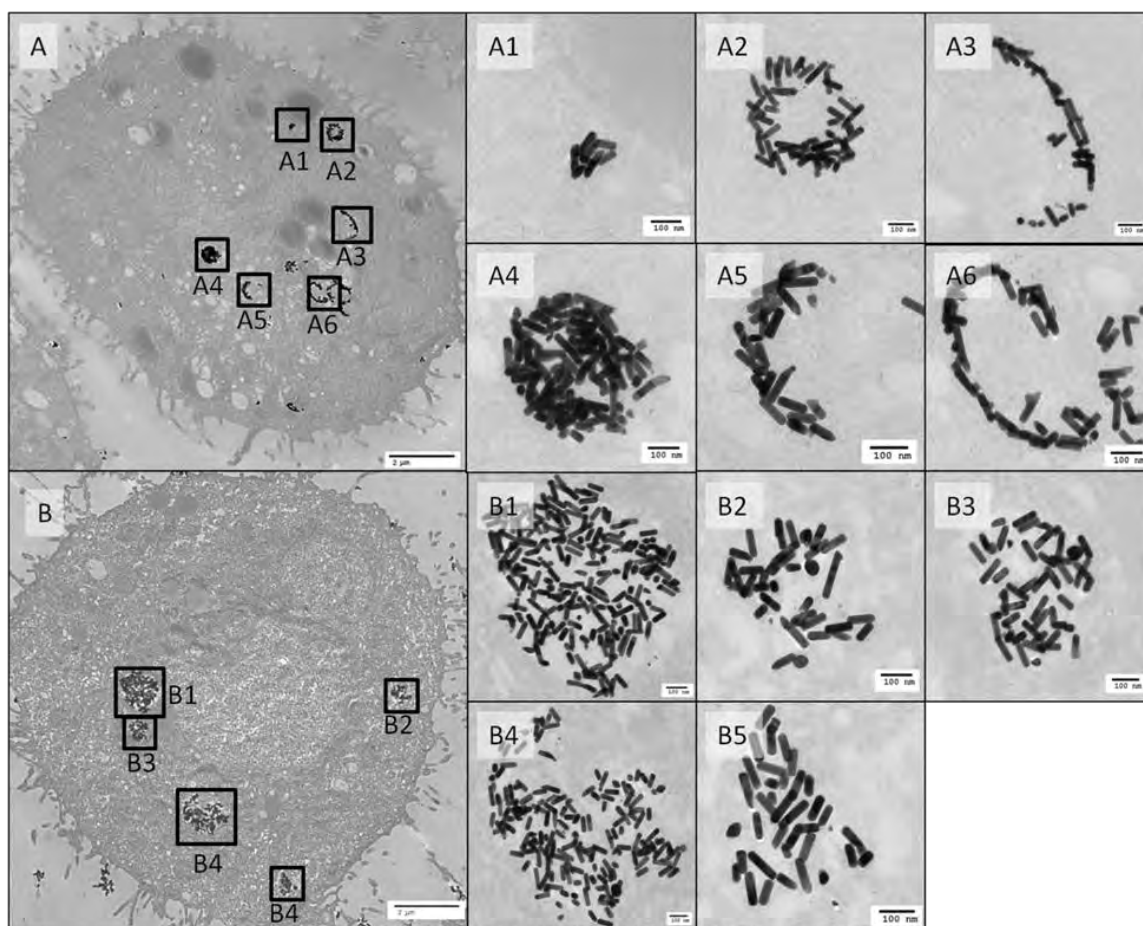


Figure 8. Visualization of intracellular clustering pattern of MTAB-TA GNRs. Representative TEM Images of A549 cells after 24 h GNR exposure (20μg/ml). A. MTAB and B. MTAB-TA GNRs. Results demonstrate intracellular aggregation of MTAB GNRs and low aggregation and unique clustering of MTAB GNRs.

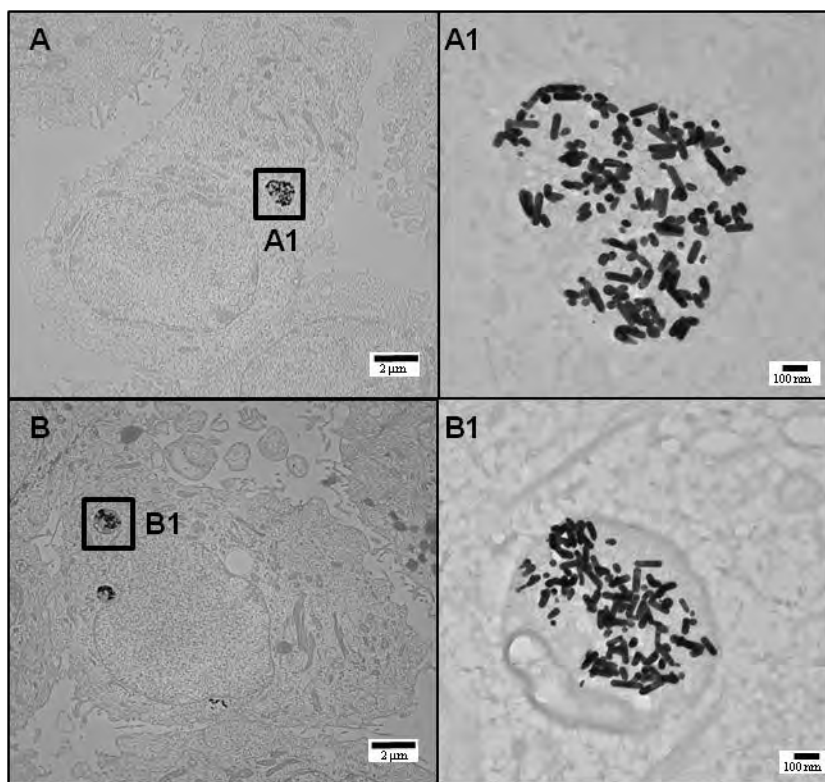


Figure 9. Visualization of MTAB-TA GNRs cellular retention.

Representative TEM Images of A549 cells 8 day post exposure after 24 h GNR exposure (20 μ g/ml). Representative images of A. MTAB and B. MTAB-TA GNRs 8 days post exposure. Results show a decrease in the number of GNR clusters/groupings per cell in both the MTAB and MTAB-TA exposed cells.

Next, we analyzed the GNRs clusters/groupings with ImageJ software (Schneider et al., 2012) (Figure 10). The MTAB GNR groupings (n=28) were more densely packed than the MTAB-TA GNR groupings (n=24) as reflected in the area fraction of GNRs over total area of clusters/groupings values of 64% vs 50%, respectively ($p<0.001$). In addition, the MTAB GNR groupings had a smaller average diameter (calculated as the mean of smallest and largest diameter measurement for each grouping) than the average MTAB-TA GNR cluster at 343 nm vs 534 nm, respectively ($p<0.001$). The total area of the MTAB-TA GNR clusters, as measured by ImageJ, appears to trend larger; however, the difference was determined not to be statistically significant ($p=0.099$). Taken together, these results indicate that the differences in the intracellular pattern may account for the preserved NIR spectral profiles of the MTAB-TA GNR clusters. Further, we examined the average diameter of extracellular GNR clusters in the TEM image with ImageJ. Results indicated that the diameter of extracellular MTAB-TA GNR clusters was larger than MTAB GNR clusters, 310 nm vs 165 nm, respectively (Figure 10 F). Based on these finding, we tested if these differences in the intracellular MTAB and MTAB-TA GNR clusters spectral profiles had any beneficial effect with nano-based biomedical applications, specifically two-photon luminescence microscopy and photo-thermal cellular ablation.

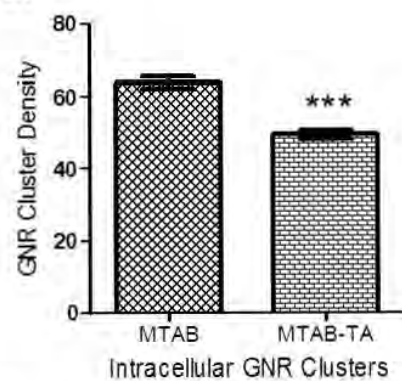
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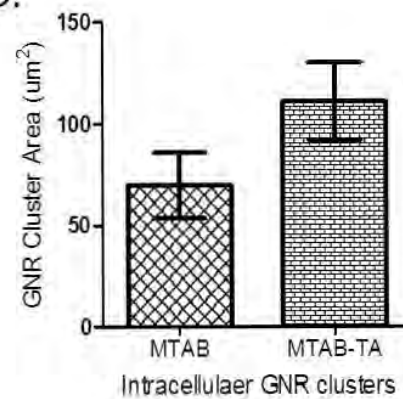
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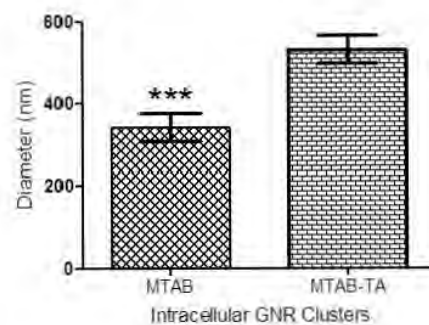
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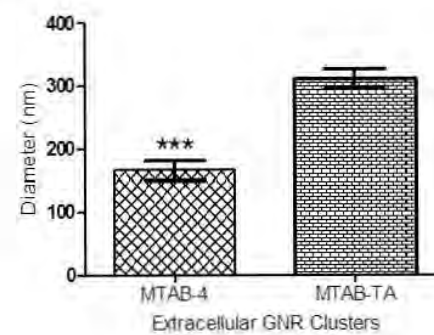


Figure 10. Analysis of intracellular MTAB-TA GNR clusters.

Representative images of intracellular clusters in A549 cells after 24 h GNR exposure (20 µg/ml) and clusters analysis using ImageJ software. A. MTAB B. MTAB-TA C. Average intracellular cluster density D. Average intracellular cluster area E. Average intracellular cluster diameter F. Average extracellular clusters diameter. Results demonstrated that MTAB-TA GNR clusters (n=24) are on average less densely packed (50% vs 64%) and have a large average diameter (534 nm vs 343 nm) compared to MTAB GNR groupings (n=28) in A549 cells. However, there was no statistically significant difference in the average intracellular cluster area, $70 \pm 16 \mu\text{m}^2$ (MTAB) vs $111 \pm 19 \mu\text{m}^2$ (MTAB-TA). The average diameter of extracellular MTAB-TA GNR clusters were larger than the average diameter of extracellular MTAB GNR clusters, 310 nm vs 165 nm, respectively. Statistical significance was determined using t-tests.

4.6 MTAB-TA GNRs exhibit superior two-photon luminescence image intensity

The use of GNRs has been described in a variety of bio-imaging modalities (Boca & Astilean, 2010; Eghtedari et al., 2007; von Maltzahn et al., 2009; Wang et al., 2005; T. Wang et al., 2013b). Of all these, nano-based bio-imaging two-photon luminescence imaging has been shown to provide the highest contrast and spatial resolution (Tong et al., 2009). Therefore, two-photon luminescence imaging was the focus of our bio-imaging studies. A strong two-photon luminescence intensity essential for bio-imaging as it allows for deeper imaging in tissues with less power.

Two-photon luminescence microscopy was used to capture 3-D images of A549 human lung cells that were exposed to GNRs (20 µg/mL) for 24 h. Next, we analyzed the intracellular MTAB and MTAB-TA GNR clusters with Fluoview software (Olympus, Pittsburgh, PA). The 3-D images were converted into 2-D images (Figure 11A-B) and the average intensity of MTAB and MTAB-TA GNR clusters was determined. Results demonstrated that intracellular MTAB-TA GNRs produced stronger two-photon luminescence intensity ($798 \pm 40.9 \text{ AU}$ (161% of control)) when compared to intracellular MTAB ($546 \pm 25.1 \text{ AU}$ (111% of control)). This indicated that the TA coating was able to reduce intracellular aggregation, protecting the GNRs key optical properties and NIR spectral signature, and in turn enhancing the GNRs bio-imaging capabilities.

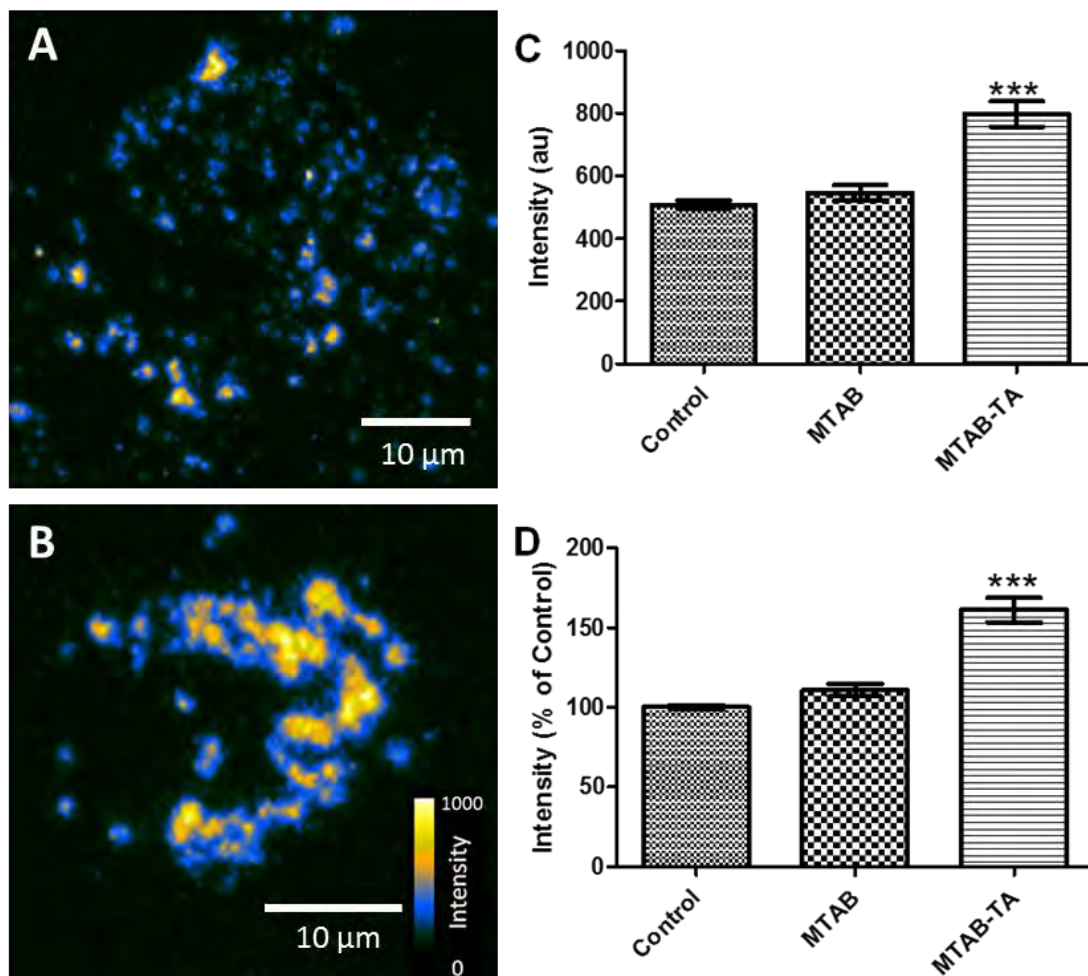


Figure 11. MTAB-TA GNRs display greater two-photon luminescence intensity.

Representative images of A549 cells after GNR exposure (20 μ g/ml) for 24 h A. MTAB B. MTAB-TA GNRs. C. Average image intensity D. Average image intensity (% of control). Results demonstrated, on average, that the image intensity for cells with MTAB-TA GNR (798 \pm 40.9 AU and 161% of control) is greater than cells with MTAB (546 \pm 25.1 AU and 111% of control). Statistical significance was determined using t-tests.

4.7 MTAB-TA GNRs demonstrated higher efficiency for photo-thermal cellular ablation. The MTAB, MTAB-TA and silica GNRs all have spectral profiles that demonstrate longitudinal absorbance at approximately 810 nm. When these GNRs are exposed to corresponding 810 nm laser irradiation, the GNRs absorb photons and this energy is converted to heat. This photothermal effect results in the GNRs becoming an extremely localized heat source that can be used to kill cancer cells via photo-thermal therapy (Cobley et al., 2011; Shanmugam et al., 2014; Zhang et al., 2012). The preserved spectral profile found in the intracellular MTAB-TA GNR suggests that they would be an excellent agent for photo-thermal therapy. To determine if the TA coating enhances the GNR photo-thermal properties *in vitro*, we used A549 adenocarcinomic human lung cells to evaluate the efficacy of MTAB and MTAB-TA GNR for photo-thermal cellular ablation. A549 human lung cells were exposed to the GNRs (20 μ g/mL) for 24 h and

washed three times. Next, calcein AM (2 μ M) and ethidium homodimer-1 (4 μ M) was added to RPMI 1640 cell culture media without Phenol Red and incubated for 15 min. The cells were then irradiated with 60 sweeps of an 810nm 3W Ti-sapphire laser (30-75mW). Cellular ablation was measured at the 0, 1, 5, 10 min post irradiation time points. Results show that MTAB-TA is an effective photo-thermal therapeutic agent with cell viability decreasing as the laser power was increased (Figure 12). MTAB-TA GNR demonstrated the highest level of cell death and therefore the greatest efficacy for photo-thermal cellular ablation compared to MTAB and silica GNRs (Figure 13 & 26). Photo-thermal cellular ablation with silica GNRs resulted in non-uniform cell death in the irradiated field. This may be due to lower cellular uptake of silica GNRs (15%) compared to MTAB (40%) and MTAB-TA (39%) GNRs. Based on the cellular uptake results, the effective dose in the cell was approximately 3 μ g/mL for the silica GNRs compared to approximately 8 μ g/mL for MTAB and MTAB-TA GNRs. These photo-thermal cellular ablation results further confirms that the TA coating of GNRs preserves their optical properties and enhances their efficacy for photo-thermal applications.

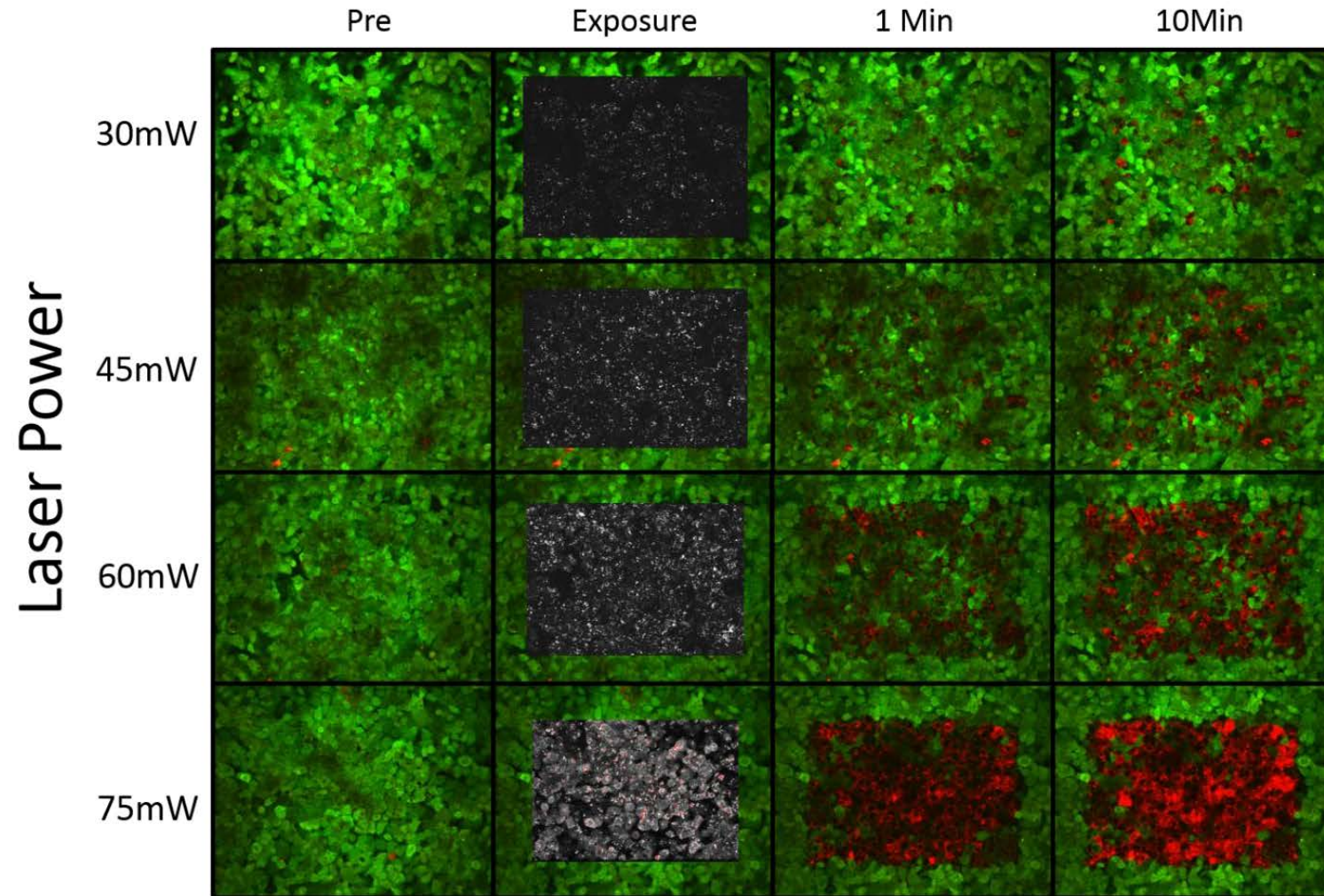


Figure 12. MTAB-TA GNR shows efficiency as agent for photo-thermal therapy.

Representative images of A549 cells after exposure to MTAB-TA GNRs (20 μ g/ml) for 24 h. Results show A549 cells before and after exposure to NIR laser irradiation. Results show viability of MTAB-TA GNR treated cell decreasing as the laser power was increased. Results demonstrate that MTAB-TA GNRs are an effective photo-thermal therapeutic agent.

Functionalized GNRs

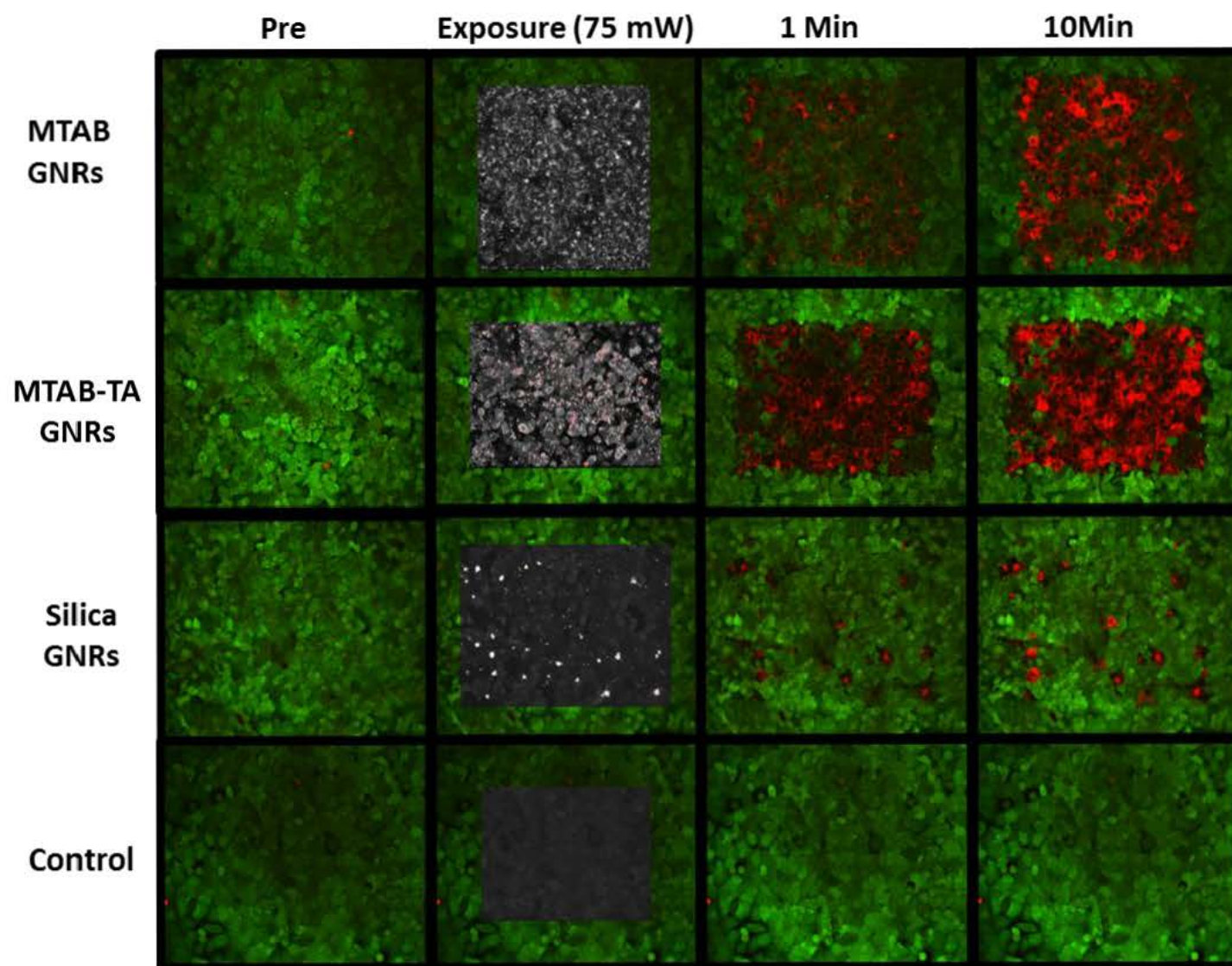


Figure 13. Visual comparison of MTAB-TA GNRs efficiency for photo-thermal cellular ablation.

Representative images of A549 cells after exposure to GNRs (20 $\mu\text{g/ml}$) for 24 h. Results show A549 cells with MTAB, MTAB-TA, or silica GNRs before and after exposure to NIR laser irradiation. Results show that MTAB-TA has the greatest efficiency for photo-thermal cellular ablation as illustrated by the decrease in cell viability. Silica GNRs resulted in non-uniform killing of cells and GNR-free control showed no decrease in cell viability.

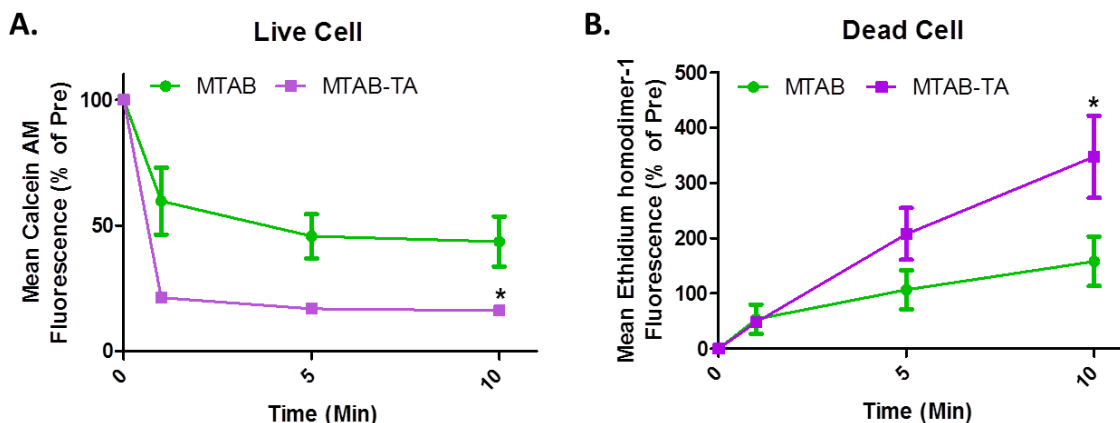


Figure 14. MTAB-TA GNRs demonstrate greatest efficiency for photo-thermal cellular ablation.

A549 human lung cells were exposed to GNRs (20 $\mu\text{g/ml}$) for 24 h and irradiated with 60 sweeps of an 810nm Ti-sapphire laser (75mW), cell viability was assessed using A. Mean Calcein AM fluorescence B. Mean ethidium homodimer-1 fluorescence. Results shows that photo-thermal cellular ablation with MTAB-TA GNRs results in both a significant decrease in mean Calcein AM fluorescence and a significant increase in mean ethidium homodimer-1 fluorescence. This indicates a significant decrease in cell viability and significant increase in cell death and demonstrates MTAB-TA GNRs superior photo-thermal cellular ablation properties. Statistical significance was determined using t-tests at 10 min post exposure.

5.0 CONCLUSIONS

In this study, the GNR surface chemistry was modified by replacing CTAB with MTAB and over-coating with TA. This created a novel GNR (MTAB-TA) that formed unique clusters, showed no decrease in cellular viability, no indication of cellular stress and no alteration of cell morphology, confirming its enhanced biocompatibility. Further, in the A549 human lung cancer cell line, MTAB-TA GNRs demonstrated a cellular uptake rate 26 times greater than the commonly used PEG GNRs and 2.5 times greater than silica coated GNRs (Figure 5). This high uptake rate would enable a lower effective diagnostic and therapeutic working concentration. The MTAB-TA GNRs displayed unique intracellular distribution patterns that not only preserved their NIR optical properties within the water but also enhanced their spectral intensity greater than 2.5 times that of uncoated GNRs. This finding is critical for bio-applications because it

allows for the use of minimally invasive NIR lasers, higher resolution imaging and more effective therapies. Finally, we demonstrated that the MTAB-TA GNRs had the greatest efficacy for photo-thermal cellular ablation compared to MTAB and silica GNRs (Figure 13 & 14). In conclusion, this study has identified the complete replacement of CTAB with MTAB and the use of TA to overcoat and create a soft shell around the GNR, reducing GNR aggregation, protecting and preserving the GNRs NIR optical properties intracellularly (Figure 15). Based on their biocompatible nature, high rate of *in vitro* cell internalization and low intracellular aggregation, MTAB-TA GNRs are prime candidates for use *in vivo* experimentation, nano-based bio-imaging and photo-thermal applications.

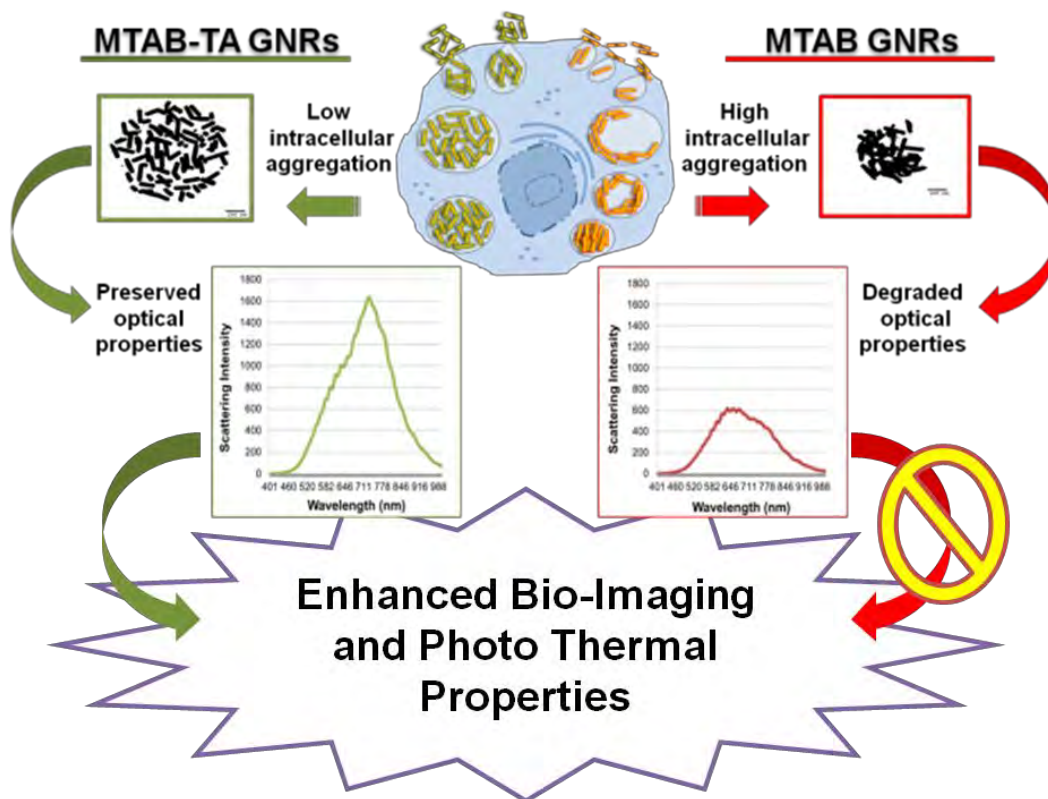


Figure 15. MTAB-TA GNRs have enhanced bio-imaging and photo-thermal properties. MTAB and MTAB-TA GNRs displayed high *in vitro* biocompatibility and cellular uptake. However, after internalization by A549 human lung cancer cells, the MTAB GNRs displayed high intracellular aggregation. This resulted in a degradation of the GNRs optical properties. On the contrary, TA coated MTAB GNRs (MTAB-TA) displayed low intracellular aggregation and preserved NIR optical properties. This results in greater two-photon image intensity and photo-thermal cellular ablation making them ideal nano-based bio-imaging and photo-thermal applications.

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LIST OF ACRONYMS

ATCC	American Type Culture Collection
AR	Aspect Ratio
CTAB	Cetyl trimethylammonium Bromide
DLS	Dynamic Light Scattering
FBS	Fetal Bovine Serum
GNMs	Gold Nanomaterials
GNRs	Gold Nanorods
HIS	Hyperspectral Imaging
ICP-MS	Inductively Coupled Plasma Mass Spectrometry
LCDC	Live Cell Dead Cell
MTAB	11-mercaptohexadecyl trimethylammonium Bromide
MTS	(3-(4,5-dimethylthiazol-2-yl)-5-(3-carboxymethoxyphenyl)-2-(4-sulfophenyl)-2H-tetrazolium)
NIR	Near-Infrared
NM	Nanomaterial
PBS	Phosphate Buffered Saline
PEG	Polyethylene glycol
ROS	Oxygen Species
SPR	Surface Plasmon Resonance
TA	Tannic Acid
TEM	Transmission Electron Microscopy
UV-Vis	Ultra-Violet Visible Spectroscopy